SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL	
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☐ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

☑ Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934
or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*
SAMUELI HENRY

(Last) (First) (Middle)
C/O BROADCOM INC.
3421 HILLVIEW AVENUE

(Street)
PALO ALTO CA 94304

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading Symbol
Broadcom Inc. [AVGO]
Foreign Trading Symbol

3. Date of Earliest Transaction (Month/Day/Year)
09/23/2026

4. If Amendment, Date of Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer (Check all applicable)
☑ Director
☐ 10% Owner
☐ Officer (give title below)
☐ Other (specify below)

6. Individual or Joint/Group Filing (Check Applicable Line)
☑ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock, $0.001 par value	09/23/2026		S[1]		22,624	D	$354.75[2]	29,961,416	I	See Footnote[19]
Common Stock, $0.001 par value	09/23/2026		S[1]		22,946	D	$355.55[3]	29,938,470	I	See Footnote[19]
Common Stock, $0.001 par value	09/23/2026		S[1]		10,058	D	$356.59[4]	29,928,412	I	See Footnote[19]
Common Stock, $0.001 par value	09/23/2026		S[1]		6,959	D	$357.66[5]	29,921,453	I	See Footnote[19]
Common Stock, $0.001 par value	09/23/2026		S[1]		4,560	D	$358.47[6]	29,916,893	I	See Footnote[19]
Common Stock, $0.001 par value	09/23/2026		S[1]		2,162	D	$359.48[7]	29,914,731	I	See Footnote[19]
Common Stock, $0.001 par value	09/23/2026		S[1]		397	D	$360.76[8]	29,914,334	I	See Footnote[19]
Common Stock, $0.001 par value	09/23/2026		S[1]		512	D	$361.48[9]	29,913,822	I	See Footnote[19]
Common Stock, $0.001 par value	09/23/2026		S[1]		186,809	D	$354.71[10]	36,327,861	I	See Footnote[20]
Common Stock, $0.001 par value	09/23/2026		S[1]		230,031	D	$355.5[11]	36,097,830	I	See Footnote[20]
Common Stock, $0.001 par value	09/23/2026		S[1]		85,221	D	$356.58[12]	36,012,609	I	See Footnote[20]
Common Stock, $0.001 par value	09/23/2026		S[1]		50,998	D	$357.52[13]	35,961,611	I	See Footnote[20]
Common Stock, $0.001 par value	09/23/2026		S[1]		44,618	D	$358.42[14]	35,916,993	I	See Footnote[20]
Common Stock, $0.001 par value	09/23/2026		S[1]		22,579	D	$359.44[15]	35,894,414	I	See Footnote[20]
Common Stock, $0.001 par value	09/23/2026		S[1]		407	D	$361.18[16]	35,894,007	I	See Footnote[20]
Common Stock, $0.001 par value	09/23/2026		S[1]		11,309	D	$361.79[17]	35,882,698	I	See Footnote[20]

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock, $0.001 par value	09/23/2026		G[1]		72,474	D	$0	35,810,224	I	See Footnote[20]
Common Stock, $0.001 par value								864[18]	D	
Common Stock, $0.001 par value								12,272,030	I	See Footnote[21]
Common Stock, $0.001 par value								4,596,900	I	See Footnote[22]

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. The transactions reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted on December 16, 2025 by the Reporting Person.
2. The price reported in column 4 is a weighted average price. These shares were sold in multiple transaction prices ranging from $354.15 to $355.14 inclusive. The Reporting Person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transactions were effected within the ranges set forth in footnotes 2 to 17.
3. The price reported in column 4 is a weighted average price. These shares were sold in multiple transaction prices ranging from $355.15 to $356.14 inclusive.
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7. The price reported in column 4 is a weighted average price. These shares were sold in multiple transaction prices ranging from $359.15 to $360.13 inclusive.
8. The price reported in column 4 is a weighted average price. These shares were sold in multiple transaction prices ranging from $360.20 to $361.17 inclusive.
9. The price reported in column 4 is a weighted average price. These shares were sold in multiple transaction prices ranging from $361.33 to $361.82 inclusive.
10. The price reported in column 4 is a weighted average price. These shares were sold in multiple transaction prices ranging from $354.10 to $355.09 inclusive.
11. The price reported in column 4 is a weighted average price. These shares were sold in multiple transaction prices ranging from $355.10 to $356.09 inclusive.
12. The price reported in column 4 is a weighted average price. These shares were sold in multiple transaction prices ranging from $356.10 to $357.09 inclusive.
13. The price reported in column 4 is a weighted average price. These shares were sold in multiple transaction prices ranging from $357.10 to $358.07 inclusive.
14. The price reported in column 4 is a weighted average price. These shares were sold in multiple transaction prices ranging from $358.10 to $359.09 inclusive.
15. The price reported in column 4 is a weighted average price. These shares were sold in multiple transaction prices ranging from $359.11 to $360.08 inclusive.
16. The price reported in column 4 is a weighted average price. These shares were sold in multiple transaction prices ranging from $360.29 to $361.27 inclusive.
17. The price reported in column 4 is a weighted average price. These shares were sold in multiple transaction prices ranging from $361.46 to $362.27 inclusive.
18. Includes 864 RSUs.
19. Directly held by H&S Investments I, L.P. The Reporting Person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein.
20. Directly held by D95GT LLC. The Reporting Person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein.
21. Directly held by E95GT LLC. The Reporting Person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein.
22. Directly held by H&S Portfolio II, L.P. The Reporting Person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein.

/s/ Michael J. Sorrow, Attorney-in-Fact for Henry Samueli	09/25/2026
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, *see* Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.